

DEC 17 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David M. Stern, Kevan Herold, Shi Du Yan,  
and Ann Marie Schmidt

Serial No. : 09/872,185      Group Art Unit: 1641

Filed : June 1, 2001

For : METHODS OF PREVENTING AUTOIMMUNE DIABETES

1185 Avenue of the Americas  
New York, New York 10036  
December 11, 2001

Honorable Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

Sir:

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STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted in connection with the above-identified application, has the same information as the pages attached hereto as Exhibit B, and entitled "Sequence Listing" and contains no new matter.

I hereby declare that all statements made herein of my own knowledge are true and that statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that

Applicants : David Stern et al.  
U.S. Serial No.: 09/872,185  
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any such willful false statements may jeopardize the validity of  
the '622 application or any patent issued thereon.

Respectfully submitted,

Date

6/1/01

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,185	06/01/2001	David M. Stern	0575/64080/JPW/JM

Cooper & Dunham, LLP  
1185 Avenue of the Americas  
New York, NY 100362m 12.22.01  
3m 1.22.02  
4m 2.22.02  
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CONFIRMATION NO. 2919

FORMALITIES LETTER



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OCT 26 2001 Date Mailed: 10/22/2001

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURESreceived  
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Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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*A copy of this notice MUST be returned with the reply.*

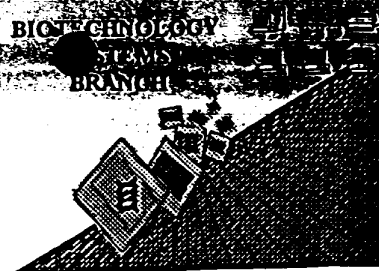
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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**RAW SEQUENCE LISTING**  
**ERROR REPORT**

BIOTECHNOLOGY  
SYSTEMS  
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The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09 872 185A  
Source: OIFE  
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FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

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The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

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